

## Capital Construction 101

Presented by:  
Field Accounting Services Team  
Lynn Gabenski  
April 4, 2006

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## FAST

- Liaison between Agencies and the State Controller
- FAST is the Capital Construction contact in Controller's Office
  - Tammy Nelson 303-866-3891
  - Lynn Gabenski 303-866-2626
  - Susan Meade 303-866-4161
  - Trinka Mullin 303-866-4162
  - Tom Gamache 303-866-3890
  - Dottie Relaford 303-866-4165

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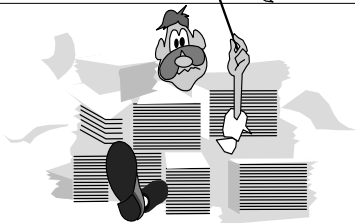
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## If You Have Questions ....



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## Agenda

- Background
- What makes Capital Construction Different?
- Booking Projects
- Diagnostic Reports
- Emergency Projects
- Federal Flexible Funds

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## References

Detailed instructions and guidance are provided at our website:  
<http://www.colorado.gov/dpa/dfp/sco/capital.htm>  
Capital Construction Accounting Guidelines  
Fiscal Procedures Manual - Chapter 4 Section 1.  
Fiscal rules Chapter 4  
Headnotes – Long Bill

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## Background

- Capital Development Committee
- Authorized in Legislation
  - Long Bill
  - Special Bill
  - Supplemental Bill
- Types of Projects
  - Controlled Maintenance
  - Capital Projects

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## Background

- Transfers between projects
  - Controlled Maintenance transfers allowed with approval of State Buildings
  - Capital Project transfers not allowed

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## What Makes Capital Construction Different?

- Budgeting
  - Available when bill signed by the Governor
  - Available for 3 Years, but must be initiated within one year
  - Split Funded Projects - Proportionate Spending Requirements
  - State funding will be reduced by the amount of non-appropriated funds received from other sources

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## What Makes Capital Construction Different?

- Budgeting
  - 6-month rule - C.R.S.24-30-1404(7)
    - Requires that all professional services be contracted for and encumbered within 6 months, which includes architecture, engineering, land surveying, landscape architecture, and industrial hygiene
    - The entire project must be encumbered if it does not have professional services (equipment, IT projects, etc.)
    - If not met, work can only proceed if:
      - Capital Development Committee recommends waiver of rule
      - State Controller's Office waives rule

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## What Makes Capital Construction Different?

- Accounting

- Restrictions on types of expenses
  - Internal Workforce - Fiscal Rule 4-2
  - Administrative Expenditures - Alert #106
- Capitalization
  - Use Fund 471
- Retainage must be withheld

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## Booking Projects

- New Projects

- Entirely new project
- New money to existing projects
  - Resets 3-Year Clock

- Continuation of Existing Projects

- New Fiscal Year, but within 3-Year Project window

- Expiring Projects

- Completely finished at end of three years
- Remaining encumbrances at end of three years

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## Booking Projects - New

- Timing

- Although available immediately upon signature of the Governor, often project does not start until beginning of the new fiscal year.
  - If needed immediately, the agency books the new project
  - If not booked by the 1<sup>st</sup> week in July, the Controller's Office books the new projects as a "lump sum booking" by agency

- SCO Assigns Project Number from Legislation

- M# for Controlled Maintenance
- P# for Projects (Former # if new money, but not new project)

- Agency Establishes Appropriation Code

- Usually variation of project number
- End date, 6-months from effective date

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## Booking Projects - New

- Fully restricted if SC4.1 is not signed
  - SC4.1 indicates CDC approval (new projects and new money to existing projects)
  - Required only for brick & mortar projects, not IT
  - See Example B-1
- Previously restricted because lack of SC4.1
  - Prepare AP document
    - Use same spending authority indicator used to originally book the project
    - \$0 on 1<sup>st</sup> page of AP document
    - "D" to decrease restriction on the 2<sup>nd</sup> page of AP document
    - See Example B-2-3

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## Booking Projects - New

- Follow Up
  - 6-month Rule Requirement Met
    - Agency submit certification to Controller's Office
      - FAST will extend the appropriation end date to the end of the year

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## Booking Projects - Continuing

- CCCAS Continuing Projects Form
  - FAST distributed March 24
  - Shows continuing projects (not new projects funded in the current legislative session)
  - Provides two columns to indicate AP document numbers
    - See Example C-1
    - FY 06 doc # - revert/project finished earlier than 3 yr (rare)
    - FY 07 doc # - AP Document Number to continue
  - Due August 11
- Use same project number and Appropriation Code
- New SC4.1 required only if also including new money for the project on same AP document

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## Booking Projects - Continuing

- Agency prepares AP document
  - Use "08" carryforward spending authority
  - Unexpended balance APPI COFRS Screen
  - Remember to carryforward any restrictions
  - Calculate funding splits
  - Documents due August 25 See Example C-2-4
- **Warning** If encumbrances need to roll a \$0 AP document must be processed by July 13

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## Booking Projects - Expiring

- CCCAS Expiring Projects Form
  - FAST distributed March 24
  - Shows expiring projects
  - Provides two columns to indicate AP document numbers
    - See Example D-1
    - FY 06 - AP document # to revert project
    - FY 07 - AP document # for carryforward amount
  - For *encumbered* amounts only
  - Agency prepares AP document
    - "08" Carryforward Spending Authority
    - Attach evidence of encumbrance
      - FDW - Other Reports - "ENCACC", dated July 13
      - See Example D-2 thru D-4

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## Booking Projects - Expiring

- Expiring
  - Unencumbered amounts must be expired
  - Agency prepares AP document
    - "06" Reversion Spending Authority
      - EXCEPTION - Projects funded from the Highway Users Tax Fund (HUTF) or the Controlled Maintenance Trust Fund (CMTF) received monies upfront. Rather than reverting, a warrant or IT must be processed to return the unused dollars to the fund
    - Attach APPI COFRS screen print to support amount
    - See Example D-5 thru 7
- Submit CCCAS Form and Reversion APs to FAST by July 25

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## Booking Projects - Quick Reference

	CCCAS Form	6 Month Rule	SC4.1	Amount on AP	SAI Code
New Projects	No	Yes	Yes	Long Bill Amount	"01" or "08"
Continuing Projects	Yes	No	No	Unexpended on APPI	"08"
Continuing/Expiring	Yes	No	No	Committed Balance	"08" & "06"
Expiring Projects	Yes	No	Yes	Uncommitted Balance	"06"

## Diagnostic Reports

- DOACABN1 - Abnormal Balance
  - Expenditures incompatible with capital construction fund
  - See Example E-1
- DOACCCM1 – Capital Construction Expenditures Compared to Budget
  - Proportionate spending
  - See Example E-2

## Diagnostic Reports

- DOACGFA1 – Capital construction expenditures to offset in Fund 471
  - Shows whether applicable construction costs were capitalized
  - Total by object code should equal \$0 at year-end
  - Example E-4

## Emergency Maintenance Projects

- Legislature appropriates emergency funds to the Department of Personnel and Administration (DPA)
- State Buildings approves funding from the emergency fund or from another maintenance project in that agency
- State Buildings indicates approval with an SC4.1
- DPA accounts for the project

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## Federal Flexible Funds

- Expenditures must be finalized by  
July 28, 2006
- SCO will process transactions to:
  - ◆ Transfer unspent funds to Governor's Office in FY 06.
  - ◆ Return \$ to the agency in FY 07

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## QUESTIONS .....

Please ask!

The End

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# Capital Construction 101

## Handouts

<u>Example</u>	<u>Page</u>
Long Bill booking with no restrictions	A-1
Long Bill booking with restrictions	B-1
Unrestrict previously booked project	B-2
CCCAS Continuing Projects Form	C-1
Booking of continuing capital construction project	C-2
CCCAS Expiring Projects Form	D-1
Carryforward of expiring project	D-2
Financial Data Warehouse report on encumbrances	D-4
Reversion of funds for expiring project	D-5
APPI COFRS screen print	D-7
DOACABN1 - Abnormal Balance	E-1
DOACCCM1 – Capital Construction Expenditures Compared to Budget	E-2
DOACCPE1 - Expenditures Compared to Transfers to Enterprise Fund (Higher Ed only)	E-3
DOACGFA1 – Capital construction expenditures to offset in Fund 471	E-4
Emergency Funds – New Project	F-1
Emergency Funds – Expiring Project	F-3
Expiring Project funded from Department	G-1
Alert #106	

# Long Bill Booking with no restrictions

FUNCTION: DOCID: AP GFE 06000000015 07/11/05 03:21:03 PM  
 STATUS: PEND3 BATID: ORG:  
 H- APPROPRIATION INPUT SCREEN  
 APPROPRIATION DATE: ACCOUNTING PRD: BUDGET FY: 06  
 FUND: 461 AGENCY: GFE ORGANIZATION:

FUNDING SOURCE CODE: VPD FUND SOURCE DESC: P0520 FITZ INFRA PH9  
 SPENDING AUTHORITY INDICATOR: 08 HEADNOTE:

GEN EXEMPT DETAIL OPT: N CASH EXEMPT DETAIL OPT: N  
 CASH DETAIL OPT: N FEDERAL DETAIL OPT: N

	APPR AMOUNTS	I/D	B/A OPTION
	-----	---	-----
TOTAL:	5,424,376	I	
GENERAL:	0	I	E
GENERAL EXEMPT:	0	I	E
CASH:	0	I	E
CASH EXEMPT:	5,424,376	I	E
FEDERAL:	0	I	E

H--\*S403-READY FOR APPROVAL 3 H--\*S405-READY FOR APPROVAL 5

4-0

1 Sess-1

192.136.70.50

4/26

Approved 3  
 7/12/05  
 SPY

SC 4.1 attached

A-1

RF 7-13-05

FUNCTION: DOCID: AP GFE 06000000015 07/13/05 01:08:25 PM  
 STATUS: PENDING BATID: ORG: 001-001 OF 001  
 APPR REST GCF REVENUE  
 ACT CODE RES/RF IND TYPE WX SOURCE APPROPRIATION NAME

	AMOUNT	I/D	B/A	OPT	COMMENTS
01- A 520	5,424,376	I			FITZ INFRSTR PH9
02-					
03-					
04-					
05-					
06-					
07-					



STATE OF COLORADO  
CONSTRUCTION PROJECT APPLICATION

State Form SC-4.1  
Rev. 5/2001

(SEE INSTRUCTIONS ON REVERSE SIDE)

Project No. P0520 (Required)

**SUBMITTAL**

TO: STATE BUILDINGS AND REAL ESTATE PROGRAMS

FROM: University of Colorado Health Sciences Center

PROJECT TITLE: Fitzsimons, Infrastructure Phase 9

**APPLICATION**

☒ Initial Application (Conditions of Approval, Explain if any)

Initial Total Appropriation \$ 5,424,376

☐ Revised Application No. \_\_\_\_\_ (Explain Revision)

Total Amount Last Funded \$ \_\_\_\_\_

Increased/Decreased Funding \$ \_\_\_\_\_

New Total Amount Funded \$ 5,424,376

☐ Final Application

**BUDGET/ACTUAL COSTS**

A. Land/Building Acquisition

\$ \_\_\_\_\_ \$ 0

B. Professional Services

1. Site Surveys, Investigations, Reports

\$ 119,217

2. Pre-Design (explain) \_\_\_\_\_

\$ \_\_\_\_\_

3. Architectural/Engineering Basic Design

\$ 476,868

4. Supplemental

\$ \_\_\_\_\_

5. Code Review/Inspection

\$ \_\_\_\_\_

6. Construction Management

\$ 59,609

7. Other (Explain) \_\_\_\_\_

\$ 655,694

C. Construction or Improvement

1. Infrastructure

\$ 3,252,335

a. Utilities Services

\$ 1,289,267

b. Site Improvements

\$ \_\_\_\_\_

2. Structure/Systems/Components

\$ \_\_\_\_\_

3. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

4. 1% Allocation for Art

\$ 4,541,602

D. Furniture, Furnishings & Equipment

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_ \$ \_\_\_\_\_

E. Miscellaneous

1. (Explain) Advertising

\$ 3,000 \$ 3,000

SUBTOTAL \$ 5,200,296

\$ 224,080

TOTAL \$ 5,424,376

**CONSTRUCTION DELIVERY METHOD**

☒ Design/Bid/Build

☐ CM/GC

☐ Design/Build

☐ Purchase Order

☐ Other (Explain) \_\_\_\_\_

**SOURCE OF FUNDS**

Capital Construction Funds Exempt

\$ \_\_\_\_\_

Cash Funds

\$ \_\_\_\_\_

Cash Funds Exempt

\$ \_\_\_\_\_

Federal Funds

\$ \_\_\_\_\_

Emergency Funds

\$ \_\_\_\_\_

Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

TOTAL

\$ \_\_\_\_\_

**REVERSION OF FUNDS**

Reversion Date \_\_\_\_\_

Reversion Amount \$ \_\_\_\_\_

**SIGNATURE APPROVALS**

☒ Capital Construction

**SIGNATURE APPROVALS**

☐ Controlled Maintenance

Principal Representative of Agency/Institution/Delegate

5.20.05  
Date

Principal Representative of Agency/Institution/Delegate

Date

OSP or CCHE Authorization

5-31-05  
Date

State Buildings and Real Estate Programs/Delegate

Date

Date copy sent to State Controller's Office: \_\_\_\_\_

State Buildings and Real Estate Programs/Delegate

5.23.05  
Date

ORIGINAL - SCO YELLOW - SBREP GOLD - CCHE/OSP GREEN - AGENCY  
395-70-53-0418 (R-05/2001)

Date copy sent to State Controller's Office: \_\_\_\_\_

A1-3

ITEM & SUBTOTAL	TOTAL	APPROPRIATION FROM			
		CAPITAL CONSTRUCTION FUND	CAPITAL CONSTRUCTION FUND EXEMPT	CASH FUNDS	FEDERAL FUNDS
\$	\$	\$	\$	\$	\$
<i>P 0541</i> Repair Campus Infrastructure <i>Vol</i> 516,796 <i>P 0407</i> 66095 Science/Engineering Buildings <i>GFS</i> 21,800,000 <i>GPP</i> 30,118,796	66095 65035 30,118,796	GFC 06-08 GFS 06-11 GPP	516,796 21,800,000* 407	541 407	

\* These amounts shall be from exempt institutional sources.

<sup>b</sup> This amount shall be from exempt institutional sources. It meets the criteria in Section 24-75-303 (3) (a) (II), C.R.S., and is shown here for informational purposes only. Therefore, it shall not be accounted for in the State's Capital Construction Fund and the limitations on encumbrance timeliness and use of internal workforce do not apply.

### (8) UNIVERSITY OF COLORADO HEALTH SCIENCES CENTER GPR

#### (A) Controlled Maintenance

Fire Protection Upgrade, Building 500  
*M 05015*  
*VPA* 496,430  
*66100 GFE 06-19, 15*  
*06-14*  
*cancel each other*  
 496,430 F31 SC4,1 M 05015

#### (B) Capital Construction

Fitzsimons, Infrastructure Phase 9  
*P 0520*  
*VPA* 5,424,376  
 Fitzsimons Trust Fund<sup>2</sup> 7,114,756  
 Lease Purchase of Academic Facilities at Fitzsimons<sup>2</sup> 7,114,756  
*P 0410*  
*UHE* 19,653,888  
*66105 GFE 06-15*  
*60146 GFE 06-18*  
*65041 GFE 06-12*  
*520 SC4,1*  
*7,114,756 restricted - C*  
*410 restricted C 7,114,756*  
*5,424,376 P 0520*

\* This amount shall be from exempt institutional sources.

<sup>b</sup> This amount shall be from the Fitzsimons Trust Fund created in Section 23-20-136, C.R.S.

20,150,318 GPR

### (9) COLORADO SCHOOL OF MINES GPT

#### (A) Capital Construction

REPORT ID: BDA17R  
RUN TIME: 18:32

STATE OF COLORADO  
COLORADO FINANCIAL REPORTING SYSTEM  
APPROPRIATION TRANSACTIONS AWAITING 5TH LEVEL APPROVAL  
FOR THE STATE BUDGET FISCAL YEAR 06

PAGE: 96  
RUN DATE: 06/20/05

DOCUMENT NUMBER: AP TAA 06000000142 BFY: 06 FUND: 461 AGCY: TAA FSC: RAA P0540 POE MOBILE SCALE

AMOUNT I/D DET OPT B/A OPT  
GENERAL: 0 I  
GEN EXEMPT: 0 I  
CASH: 0 I  
CSH EXEMPT: 270,000 I  
FEDERAL: 0 I  
FSC TOTAL: 270,000 I  
HEAD NOTE:  
SPENDING AUTHORITY: 01

APPR A CODE	RES RF TYP	REV TYP	RSRC	B/A	LBLI	LBLI DESCRIPTION	APPROPRIATION/REV SOURCE DESCRIPTION	COMMENTS
A 540	I				66240	POE MOBILE SCALE R	POE MOBILE SCALE R FY06 FUNDING	
C 540	I				66240	POE MOBILE SCALE R	POE MOBILE SCALE R	
A	I						HU EX DOR FM DOT/HUTF	

B-1

Amended  
6-22-05

REPORT ID: BDA17R  
RUN TIME: 18:32

STATE OF COLORADO  
COLORADO FINANCIAL REPORTING SYSTEM  
APPROPRIATION TRANSACTIONS AWAITING 5TH LEVEL APPROVAL  
FOR THE STATE BUDGET FISCAL YEAR 08

PAGE: 14  
RUN DATE: 06/27/05

DOCUMENT NUMBER: AP TAA 06000000143 BFY: 06 FUND: 461 AGCY: TAA FSC: RAA P0540 POE MOBILE SCALE

AMOUNT I/D DET OPT B/A OPT

GENERAL:  
GEN EXEMPT:  
CASH:  
CSH EXEMPT:  
FEDERAL:  
FSC TOTAL:

HEAD NOTE:  
SPENDING AUTHORITY: 08

APPR A CODE	RES /RF TYP	RES TYP	REV TYP	RSRC	B/A	LBLI	LBLI DESCRIPTION	APPROPRIATION/REV SOURCE DESCRIPTION	COMMENTS
C 540	D	R	D	W		66240 P0540 POE MOBILE SCALE R P0540 POE MOBILE SCALE R			

*truller*  
*7-26-05*

Unrestrict previously booked project

B-2



# STATE OF COLORADO CONSTRUCTION PROJECT APPLICATION

(SEE INSTRUCTIONS ON REVERSE SIDE)

Project No. P0540 (Required)

## SUBMITTAL

TO: STATE BUILDINGS AND REAL ESTATE PROGRAMS

FROM: REVENUE, MOTOR CARRIER SERVICES DIVISION

PROJECT TITLE: PORT OF ENTRY MOBILE SCALE REPLACEMENT

## APPLICATION

☒ Initial Application (Conditions of Approval, Explain if any)

Initial Total Appropriation \$ 270,000

☐ Revised Application No. \_\_\_\_\_ (Explain Revision)

Total Amount Last Funded \$ \_\_\_\_\_

Increased/Decreased Funding \$ \_\_\_\_\_

☐ Final Application

New Total Amount Funded \$ \_\_\_\_\_

## BUDGET/ACTUAL COSTS

A. Land/Building Acquisition

\$ \_\_\_\_\_ \$ \_\_\_\_\_

B. Professional Services

1. Site Surveys, Investigations, Reports

\$ \_\_\_\_\_

2. Pre-Design (explain) \_\_\_\_\_

\$ \_\_\_\_\_

3. Architectural/Engineering Basic Design

\$ \_\_\_\_\_

4. Supplemental

\$ \_\_\_\_\_

5. Code Review/Inspection

\$ \_\_\_\_\_

6. Construction Management

\$ \_\_\_\_\_

7. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

C. Construction or Improvement

1. Infrastructure

a. Utilities Services

\$ \_\_\_\_\_

b. Site Improvements

\$ \_\_\_\_\_

2. Structure/Systems/Components

\$ \_\_\_\_\_

3. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

4. 1% Allocation for Art

\$ \_\_\_\_\_

\$ \_\_\_\_\_

D. ~~Construction~~ Equipment

1. (Explain) MOBILE SCALES WEIGHING EQUIPMENT

\$ 270,000

\$ \_\_\_\_\_

E. Miscellaneous

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

SUBTOTAL

\$ 270,000

F. Project Contingencies (5% New, 10% Renovation - B, C, D, E only)

TOTAL

\$ 270,000

## CONSTRUCTION DELIVERY METHOD

☐ Design/Bid/Build

☐ CM/GC

☐ Design/Build

☒ Purchase Order

☐ Other (Explain) \_\_\_\_\_

## SOURCE OF FUNDS

Capital Construction Funds Exempt

Cash Funds

Cash Funds Exempt HUTF

Federal Funds

Emergency Funds

Other (Explain) \_\_\_\_\_

TOTAL

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\$ 270,000

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\$ 270,000

## REVERSION OF FUNDS

Reversion Date \_\_\_\_\_

Reversion Amount \$ \_\_\_\_\_

## SIGNATURE APPROVALS

☒ Capital Construction

James R. Kueger 6/27/05  
Principal Representative of Agency/Institution/Delegate Date

[Signature] 7/14/05  
OSP or CCHE Authorization Date

[Signature] 6/29/05  
State Buildings and Real Estate Programs/Delegate Date

Date copy sent to State Controller's Office: \_\_\_\_\_

## SIGNATURE APPROVALS

☐ Controlled Maintenance

\_\_\_\_\_  
Principal Representative of Agency/Institution/Delegate Date

\_\_\_\_\_  
State Buildings and Real Estate Programs/Delegate Date

Date copy sent to State Controller's Office: \_\_\_\_\_



**CONTINUING CAPITAL CONSTRUCTION PROJECTS  
AS OF JUNE 30, 2006**

Prepared by: \_\_\_\_\_  
Phone Number: \_\_\_\_\_

Date Submitted: \_\_\_\_\_

Prepared by: \_\_\_\_\_

C-1

# Booking of continuing capital construction project

FUNCTION: DOCID: AP GFE 06000000031 08/05/05 08:06:37 AM  
 STATUS: PEND3 BATID: ORG:  
 H- APPROPRIATION INPUT SCREEN  
 APPROPRIATION DATE: 07 28 05 ACCOUNTING PRD: 01 06 BUDGET FY: 06  
 FUND: 461 AGENCY: GFE ORGANIZATION:

FUNDING SOURCE CODE: RDY FUND SOURCE DESC: P0121 BARBARA DAVIS CNTR  
 SPENDING AUTHORITY INDICATOR: 08 HEADNOTE:

GEN EXEMPT DETAIL OPT: N CASH EXEMPT DETAIL OPT: N  
 CASH DETAIL OPT: N FEDERAL DETAIL OPT: N

	APPR AMOUNTS	I/D	B/A OPTION
	-----	---	-----
TOTAL:	10,250,768	I	
GENERAL:	0	I	E
GENERAL EXEMPT:	0	I	E
CASH:	0	I	E
CASH EXEMPT:	10,250,768	I	E
FEDERAL:	0	I	E

H--\*S403-READY FOR APPROVAL 3 H--\*S405-READY FOR APPROVAL 5

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4- 1 Sess-1 192.136.70.50 2/20

*Approved*  
*8/5/05*  
*SPM*

C-2

*RF 8-8-05*

FUNCTION: DOCID: AP GFE 06000000031 08/08/05 12:12:03 PM  
STATUS: PEND5 BATID: ORG: 001-001 OF 001

APPR REST GCF REVENUE  
ACT CODE RES/RF IND TYPE WX SOURCE APPROPRIATION NAME

-----  
AMOUNT I/D B/A OPT COMMENTS  
-----

01- C 121 10,250,768 I B.DAVIS CTR CHILDHOOD DIABETES  
02-  
03-  
04-  
05-  
06-  
07-

ACTION: R SCREEN: APPI USERID: \$DF20

08/04/05 01:23:23 PM

01- \*\*\* APPROPRIATION CODE INQUIRY \*\*\*

KEY IS BUDGET FISCAL YEAR, FUND, AGENCY, ORGANIZATION, APPR CODE

BUDGET FY: 05 FUND: 461 AGENCY: GFE ORG: APPR CODE: 121

APPR NAME: B.DAVIS CTR CHILDHOOD DIABETES APPR SHORT NAME: P0121

APPR TYPE: 01 STATUS: A APPR END DATE: 06 30 05 FUNDING SOURCE CODE: RDY

LBLI: 62130 BUDGET-CATEGORY: 200 ALLOTMENT CONTROL: N 1302 REPORTING: N

CURRENT AMOUNTS

ORIGINAL AMOUNT	23,304,533.00	
CURRENT APPROP AMOUNT	23,304,533.00	
ROLL FORWARD	0.00	
APPR TRANSFERS IN	0.00	
APPR TRANSFERS OUT	0.00	
RESTRICTED AMOUNT	0.00	
SPENDING AUTHORITY	23,304,533.00	
ALLOTTED AMOUNTS	0.00	
EXPENSE BUDGETS	0.00	
PRE-ENCUMBERED	0.00	
ENCUMBERED	0.00	
EXPENDED	13,053,765.13	
UNCOMMITTED	10,250,767.87	% 43.98
UNEXP	10,250,767.87	% 43.98

EXPIRING CAPITAL CONSTRUCTION PROJECTS  
AS OF JUNE 30, 2006

CCCAS Expiring Projects Form

AGCY	APPR	FUND	FUND	PROJECT	APPROPRIATION NAME	EXPIRES	FY 06 AP #	FY 07 AP #
CODE				NUMBER				
FAA 238	461	PCM	P9555	P9555	WSTEWTR TRTMNT CONST GRANTS	2004		
FAA 410	461	PCM	P9555	P9555	WSTEWTR TRTMNT CONST GRANTS	2004		
FAA 412	461	PCM	P9555	P9555	WSTEWTR TRTMNT CONST GRANTS	2004		
FEA 29E	100	XAK	P8609	P8609	SUPERFUND	2006		
FEA 29E	116	XAK	P8609	P8609	SUPERFUND	2006		
FEA 29D	100	XAK	P8609	P8609	SUPERFUND	2006		
FEA 29D	116	XAK	P8609	P8609	SUPERFUND	2006		
FEA 29G	116	PAK	P8609	P8609	SUPERFUND	2006		
FEA 29C	100	XAK	P8609	P8609	SUPERFUND	2006		
FEA 29M	100	PAK	P8609	P8609	SUPERFUND/CALIFORNIA GULCH	2006		
FEA 29K	116	PAK	P8609	P8609	SUPERFUND/SUMMITVILLE	2006		
FEA 29H	100	PAK	P8609	P8609	SUPERFUND	2006		
FEA 29J	116	PAK	P8609	P8609	SUPERFUND/SUMMITVILLE	2006		
FEA 29J	100	PAK	P8609	P8609	SUPERFUND/SUMMITVILLE	2006		
FEA 29M	116	PAK	P8609	P8609	SUPERFUND/CALIFORNIA GULCH	2006		
FEA 29H	116	PAK	P8609	P8609	SUPERFUND	2006		

Prepared by: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Date Submitted: \_\_\_\_\_

0-1

0-1

**Carryforward of expiring project**

FUNCTION: DOCID: AP GFE 06000000038 07/28/05 03:32:53 PM  
STATUS: PEND3 BATID: ORG:  
H- APPROPRIATION INPUT SCREEN  
APPROPRIATION DATE: 07 28 05 ACCOUNTING PRD: 01 06 BUDGET FY: 06  
FUND: 461 AGENCY: GFE ORGANIZATION:

FUNDING SOURCE CODE: SAA FUND SOURCE DESC: P0202 FITZ RESEARCH ED 1A  
SPENDING AUTHORITY INDICATOR: 08 HEADNOTE:

GEN EXEMPT DETAIL OPT: N CASH EXEMPT DETAIL OPT: N  
CASH DETAIL OPT: N FEDERAL DETAIL OPT: N

	APPR AMOUNTS	I/D	B/A OPTION
	-----	---	-----
TOTAL:	64,156	I	
GENERAL:	0	I	E
GENERAL EXEMPT:	0	I	E
CASH:	0	I	E
CASH EXEMPT:	64,156	I	E
FEDERAL:	0	I	E
H--*S403-READY FOR APPROVAL 3			H--*S405-READY FOR APPROVAL 5

4-0

1 Sess-1

192.136.70.50

4/26

*Approved**7/28/05**SPM**See AP GFE 05-45**D-2**RJ 7-29-05*

FUNCTION: DOCID: AP GFE 060000000038 07/28/05 03:32:56 PM  
STATUS: PEND3 BATID: ORG: 001-001 OF 001  
APPR REST GCF REVENUE  
ACT CODE RES/RF IND TYPE WX SOURCE APPROPRIATION NAME  
-----  
AMOUNT I/D B/A OPT COMMENTS  
-----  
01- C 202 64,156 I FITZ RESEARCH ED 1A  
02-  
03-  
04-  
05-  
06-  
07-

---

4-0 1 Sess-1 192.136.70.50 8/7

# Financial Data Warehouse report on encumbrances

of 1

STATE OF COLORADO FINANCIAL DATA WAREHOUSE					
Summary Encumbrances (Type 21) by Object Group for Agency: GFE					
Acceptance Date Prior to 20050714					
For Fiscal Year 2005 through Period: 12					
Page 1 - - - - - Report ID: ENCACC - - - - - Run Date: 07/25/05					
Fund	Appr	Appropriation Name	Object	Object Name	Amount
481	121	B.DAVIS CTR CHILDHOOD DIABETES	2310	PURCHASED CONSTRUCTION SVCS	\$504,670.70
			3128	NONCAPITALIZED EQUIPMENT	\$43,201.40
			6280	OTHER CAP EQUIPMENT-DIR PURCH	\$124,442.50
*TOTAL APPR 121					\$672,314.60
	202	FITZ RESEARCH ED 1A	2310	PURCHASED CONSTRUCTION SVCS	\$28,019.46
			3128	NONCAPITALIZED EQUIPMENT	\$36,136.20
*TOTAL APPR 202					\$64,155.66
	212	FITZ INFRASTRCTR MIDTERM DEVELOP	2310	PURCHASED CONSTRUCTION SVCS	\$919,652.50
	213	WASTE PROCESSING FACILITY	2210	OTHER MAINTENANCE/REPAIR SVCS	\$0.00
			2310	PURCHASED CONSTRUCTION SVCS	\$0.00
*TOTAL APPR 213					\$0.00
	307	CTR FOR BIOETHICS/HUMANITIES	2310	PURCHASED CONSTRUCTION SVCS	\$107,444.08
	308	FITZ INFRASTRUCTURE PHASE 6	2310	PURCHASED CONSTRUCTION SVCS	\$134,414.10
	309	FITZ INFRASTRUCTURE PHASE 7	2310	PURCHASED CONSTRUCTION SVCS	\$470,956.22
	310	FITZ RESEARCH COMPLEX II	2310	PURCHASED CONSTRUCTION SVCS	\$12,600,005.52
	405	FITZ INFRASTRUCTURE PHASE 8	2310	PURCHASED CONSTRUCTION SVCS	\$2,530,752.27
	409	BLDG 500 RENOVATION PHASE 3	2310	PURCHASED CONSTRUCTION SVCS	\$544,069.59
	727	RPR/RPLC INFRASTRCTR PSYCH HOS	2210	OTHER MAINTENANCE/REPAIR SVCS	\$0.00
			2610	ADVERTISING	\$0.00
*TOTAL APPR 727					\$0.00
	819	FITZSIMONS INFRASTRUCTURE DEV	2310	PURCHASED CONSTRUCTION SVCS	\$0.00
	914	CTR ON STUDY CLINICAL PERFMNC	2310	PURCHASED CONSTRUCTION SVCS	\$294,344.00
	F31	BUILDING 500 FIRE PROTECTION	2310	PURCHASED CONSTRUCTION SVCS	\$28,925.55
*TOTAL FUND 481					\$18,367,034.07
TOTAL					\$18,367,034.07

D-4



# Reversion of funds for expiring project

FUNCTION:  
STATUS: PEND3  
H-

DOCID: AP GFE 05000000045

07/29/05 09:55:00 AM

BATID: ORG:

APPROPRIATION INPUT SCREEN

APPROPRIATION DATE: 06 30 05 ACCOUNTING PRD: 13 05  
FUND: 461

BUDGET FY: 05  
ORGANIZATION:

FUNDING SOURCE CODE: SAA FUND SOURCE DESC: P0202 FITZ RESEARCH ED 1A  
SPENDING AUTHORITY INDICATOR: 06 HEADNOTE:

GEN EXEMPT DETAIL OPT: N CASH EXEMPT DETAIL OPT: N  
CASH DETAIL OPT: N FEDERAL DETAIL OPT: N

	APPR AMOUNTS	I/D	B/A OPTION
TOTAL:	78,352	D	
GENERAL:	0	I	E
GENERAL EXEMPT:	0	I	E
CASH:	0	I	E
CASH EXEMPT:	78,352	D	E
FEDERAL:	0	I	E

H--\*S403-READY FOR APPROVAL 3 H--\*S405-READY FOR APPROVAL 5

4-6

1 Sess-1

192.136.70.50

4/26

Approved  
7/29/05  
SPJ

See AP GFE 06-38

D-5

RJ7-29-05

FUNCTION: DOCID: AP GFE 050000000045 07/29/05 09:55:02 AM  
STATUS: PEND3 BATID: ORG: 001-001 OF 001  
APPR REST GCF REVENUE  
ACT CODE RES/RF IND TYPE WX SOURCE APPROPRIATION NAME  
-----  
AMOUNT I/D B/A OPT COMMENTS  
-----  
01- C 202 78,352 D FITZ RESEARCH ED 1A  
02-  
03-  
04-  
05-  
06-  
07-

---

4-0 1 Sess-1 192.136.70.50 8/7

## APPI COFRS screen print

ACTION: R SCREEN: APPI USERID: \$DF20  
01-

07/25/05 03:22:23 PM

\*\*\* APPROPRIATION CODE INQUIRY \*\*\*

KEY IS BUDGET FISCAL YEAR, FUND, AGENCY, ORGANIZATION, APPR CODE

BUDGET FY: 05 FUND: 461 AGENCY: GFE ORG: APPR CODE: 202

APPR NAME: FITZ RESEARCH ED 1A APPR SHORT NAME: FITZ ED 1A

APPR TYPE: 01 STATUS: A APPR END DATE: 06 30 05 FUNDING SOURCE CODE: SAA

LBLI: 63005 BUDGET-CATEGORY: 200 ALLOTMENT CONTROL: N 1302 REPORTING: N

### CURRENT AMOUNTS

ORIGINAL AMOUNT	1,048,689.00
CURRENT APPROP AMOUNT	1,048,689.00
ROLL FORWARD	0.00
APPR TRANSFERS IN	0.00
APPR TRANSFERS OUT	0.00
RESTRICTED AMOUNT	0.00
SPENDING AUTHORITY	1,048,689.00
ALLOTTED AMOUNTS	0.00
EXPENSE BUDGETS	0.00
PRE-ENCUMBERED	0.00
ENCUMBERED	0.00
EXPENDED	906,181.25
UNCOMMITTED	142,507.75 % 13.58 UNEXP 142,507.75 % 13.58

64,155.66 encumbered

78,352.09 reversion

DOACABN1 - Abnormal Balance

REPORT ID: DOACABN1 (STATE CONTROLLER'S OFFICE POCEXEC: DOACABN1.FEX)  
AGENCY: UHA

ABNORMAL BALANCES REPORT  
FISCAL YEAR 2004-05 PERIOD THIRTEEN  
BASED ON DATA FROM COFRS RUN DATE 8/7/05 AND PRINTED ON 08/08/05  
PERIOD THIRTEEN CLOSING BALANCES  
FOR AGENCY: DEPT OF HLTH CARE POLICY & FIN

AGENCY	FUND	TYPE OF ABNORMALITY	TYPE	ACCOUNT #	ACCOUNT NAME	AMOUNT
461	ACCOUNT INCOMPATIBLE W/ FUND	22	ABIC	OT EX DHCPF/MEDICAID TO DHS	1,231,699.03	

NOTE: ACCOUNT TYPE 23 BALANCES ARE SHOWN AS TYPE 01 ON THIS REPORT  
NOTE2: CERTAIN BALANCES ON THIS REPORT MAY BE INDICATIONS OF POTENTIAL  
PROBLEMS UNDER GASB 34/35. SEE CHAPTER 9 IN THE FISCAL  
PROCEDURES MANUAL FOR RELATED DEADLINES

# DOACCCM1 – Capital Construction Expenditures Compared to Budget

REPORT ID: DOACCCM1 (STATE CONTROLLERS OFFICE FOCEXEC: RP\_MIX.FEX)  
AGCL: GF

CAPITAL CONSTRUCTION EXPENDITURES SPENT COMPARED TO BUDGET  
BASED ON DATA FROM COFRS RUN DATE 8/7/05 AND PRINTED ON 08/08/05  
PERIOD THIRTEEN CLOSING BALANCES

FSC	FSC_NAME	GCF	BUDGET	EXPENSE	BUDGET PERCENT	EXPENSE PERCENT
---	-----	---	-----	-----	-----	-----
FLEXIBLE FEDERAL FUNDS (GFB)						
077	M1021F CHEM ENG HVAC UPGR	F	211,567	13,053	100.0	100.0
078	M3029F STORM/SANITARY SEW	F	171,027	19,778	100.0	100.0
079	M8053F FIRE SPRINKLER UPG	F	149,808	106,881	100.0	100.0
080	M9032F FIRE ALARM SYS UPG	F	181,356	115,534	100.0	100.0
FLEXIBLE FEDERAL FUNDS (GFE)						
082	M3031F BLD 500 FIRE PROT	F	265,650	111,216	100.0	100.0
UNIVERSITY OF COLORADO-Boulder						
PBP	M00038 STEAM TUNNEL UPGRD	X	44,982	44,981	100.0	100.0
PBV	M00041 TUNNEL SEC PROJECT	X	1,528	1,528	100.0	100.0
PBZ	M00043 DRAINAGE IMPROVE	X	11,768	951	100.0	100.0
PCC	P0020 TCH/LRN/SOCIETY CNT	F	3,000,000	0	11.1	.0
		W	24,046,593	5,792,756	88.9	100.0
PCE	P0021 NEW LAW SCHOOL	W	44,807,841	11,825,187	100.0	100.0
		X	5,820	5,820	.0	.0
RCX	M01022 COMPRESSED AIR SYS	X	1,330	1,330	100.0	100.0
RDD	M01024 CODE/LIFE SAFETY	X	12,066	12,065	100.0	100.0
WDP	M80054 CCC/BFP	X	19,303	15,800	100.0	100.0
WDY	P9816 PORTER BIOSCIENCES	W	42,220	42,219	59.3	59.3
		X	28,977	28,977	40.7	40.7
ZBU	M90033 FIRE SPRINKLER SYS	X	193,684	193,683	100.0	100.0
ZBW	M90034 FIRE SPRINKLER SYS	X	83	83	100.0	100.0
UNIV OF COLO-COLO SPRINGS						
RDJ	M01025 REP INFRASTRUCTURE	X	153,059	153,058	100.0	100.0
RDM	M01026 R/R WATER VALVES	X	2,200	2,200	100.0	100.0
RDP	M01027 FIRE ALARM SYSTEM	X	2,397	2,397	100.0	100.0
UGS	P0408 SCIENCE/ENG BLDG	W	23,200,000	92,872	100.0	100.0
VCT	P9715 LIB/INF TECH CTR PI	W	132	122	24.9	24.9
		X	368	368	75.1	75.1
ZCG	M90038 REPL HVAC SCIENCE	X	167,898	167,898	100.0	100.0
ZCL	P9913 RENOV/TECH UPGRADE	W	46,467	46,467	89.3	89.3
		X	5,556	5,556	10.7	10.7
CU-HEALTH SCIENCES CENTER						
RDY	P0121 BARBARA DAVIS CNTR	F	3,221,187	0	13.8	.0
		W	20,083,346	13,053,765	86.2	100.0
SAA	P0202 FITZ RESEARCH ED LA	W	970,337	906,181	100.0	100.0
SAV	P0212 FITZ INFRA MIDTERM	W	995,176	75,523	100.0	100.0
SAY	P0213 HLTH/SAFETY WASTE	W	134,396	134,396	100.0	100.0

REPORT ID: DOACGFA1 (STATE CONTROLLER'S OFFICE FOCEXEC: DOACGFA1.FEX)  
 AGENCY: I\*\*

REPORT OF MATCHING OBJECT CODES BETWEEN GOVERNMENTAL FUNDS AND GFAAG  
 FISCAL YEAR 2004-05 PERIOD THIRTEEN  
 BASED ON DATA FROM COFRS RUN DATE 8/4/05 AND PRINTED ON 08/05/05  
 PRECLOSE BALANCES - PERIOD 13 - SPECIAL SCHEDULE

# DOACGFA1 – Capital construction expenditures to offset in Fund 471

ACCT	ACCOUNT NAME	AGY	FUND	GOVERNMENTAL FUND	FUND 471 OR CONTRIB CAPITAL	TOTAL
2310	PURCHASED CONSTRUCTION SVCS	IHA	461	66,935.70	.00	66,935.70
		IJC	461	.00	(81,847.70)	(81,847.70)
		IKA	461	17,390.00	.00	17,390.00
			471	.00	(17,390.00)	(17,390.00)
			461	14,912.00	.00	14,912.00
TOTAL ACCOUNT 2310				99,237.70	(99,237.70)	.00
2311	CONSTRUCTION CONTRACTOR SVCS	IHA	461	1,227,868.02	.00	1,227,868.02
			471	.00	(1,227,868.02)	(1,227,868.02)
TOTAL ACCOUNT 2311				1,227,868.02	(1,227,868.02)	.00
6110	BUILDINGS-DIRECT PURCHASE	IHA	100	13,355.82	.00	13,355.82
		IJB	100	.00	(13,355.82)	(13,355.82)
			471	14,635.18	.00	14,635.18
				.00	(14,635.18)	(14,635.18)
TOTAL ACCOUNT 6110				27,991.00	(27,991.00)	.00
6120	LAND-DIRECT PURCHASE	IHA	100	6,418.00	.00	6,418.00
			471	.00	(6,418.00)	(6,418.00)
TOTAL ACCOUNT 6120				6,418.00	(6,418.00)	.00
6130	LAND IMPROVEMENTS-DIR PURCHASE	IIB	100	11,309.52	.00	11,309.52
			471	.00	(11,309.52)	(11,309.52)

E-4

FUNCTION: DOCID: TA AAE 06000000015 10/17/05 02:49:02 PM  
 STATUS: PEND3 BATID: ORG: 001-001 OF 001  
 H- TRANSFER OF APPROPRIATION INPUT SCREEN  
 TRANS DATE: 10 17 05 ACCTG PRD: 04 06 BUDGET FY: 06  
 TOTAL AMOUNT: 18,150

FROM APPROPRIATION				TO APPROPRIATION				TSFR	GCF	AMOUNT
FUND	AGY	ORG	APPR	FUND	AGY	ORG	APPR	TYPE	WX	
01- 461	AAE		021	461	AAE		113	C	X	18,150
02-										
03-										
04-										
05-										
06-										
07-										
08-										
09-										
10-										
11-										

H--\*S403-READY FOR APPROVAL 3      H--\*S405-READY FOR APPROVAL 5

*88 10/17/05*

**Emergency Funds – New Project**

*F-1*

*29/10-18-05*



# STATE OF COLORADO CONSTRUCTION PROJECT APPLICATION

Project No. FM-113 (Required)

(SEE INSTRUCTIONS ON REVERSE SIDE)

## SUBMITTAL

TO: STATE BUILDINGS AND REAL ESTATE PROGRAMS

FROM: Fort Lewis College

PROJECT TITLE: Repair Retaining Wall

## APPLICATION

☐ Initial Application (Conditions of Approval, Explain if any)

Initial Total Appropriation

\$ 11,979

☒ Revised Application No. 1 (Explain Revision)  
Re-secure the veneer

Total Amount Last Funded

\$ 18,150

Increased/Decreased Funding

\$ 30,129

New Total Amount Funded

\$ 30,129

☐ Final Application

## BUDGET/ACTUAL COSTS

A. Land/Building Acquisition

\$ \_\_\_\_\_

\$ \_\_\_\_\_

B. Professional Services

1. Site Surveys, Investigations, Reports

\$ 3,000

2. Pre-Design (explain) \_\_\_\_\_

\$ \_\_\_\_\_

3. Architectural/Engineering Basic Design

\$ \_\_\_\_\_

4. Supplemental

\$ \_\_\_\_\_

5. Code Review/Inspection

\$ \_\_\_\_\_

6. Construction Management

\$ \_\_\_\_\_

7. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ 3,000

C. Construction or Improvement

1. Infrastructure

a. Utilities Services

\$ \_\_\_\_\_

b. Site Improvements

\$ 24,390

2. Structure/Systems/Components

\$ \_\_\_\_\_

3. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

4. 1% Allocation for Art

\$ \_\_\_\_\_

\$ 24,390

D. Furniture, Furnishings & Equipment

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

E. Miscellaneous

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

SUBTOTAL

\$ 27,390

F. Project Contingencies (5% New, 10% Renovation - B, C, D, E only)

\$ 2,739

TOTAL

\$ 30,129

## CONSTRUCTION DELIVERY METHOD

☐ Design/Bid/Build

☐ CM/GC

☐ Design/Build

☐ Purchase Order

☐ Other (Explain) \_\_\_\_\_

## SOURCE OF FUNDS

Capital Construction Funds Exempt

\$ \_\_\_\_\_

Cash Funds

\$ \_\_\_\_\_

Cash Funds Exempt

\$ \_\_\_\_\_

Federal Funds

\$ \_\_\_\_\_

Emergency Funds

\$ 30,129

Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

TOTAL

\$ 30,129

## REVERSION OF FUNDS

Reversion Date \_\_\_\_\_

Reversion Amount

\$ \_\_\_\_\_

## SIGNATURE APPROVALS

☐ Capital Construction

## SIGNATURE APPROVALS

☐ Controlled Maintenance

Principal Representative of Agency/Institution/Delegate

Date

L. FRIEDBERG 10/14/05  
Principal Representative of Agency/Institution/Delegate

Date

OSPB or CCHE Authorization

Date

State Buildings and Real Estate Programs/Delegate

Date

State Buildings and Real Estate Programs/Delegate

Date

Date copy sent to State Controller's Office: \_\_\_\_\_

Date copy sent to State Controller's Office: \_\_\_\_\_

ORIGINAL - SCO YELLOW - SBREP GOLD - CCHE/OSPB GREEN - AGENCY  
395-70-53-0418 (R-05/2001)



FUNCTION;  
 STATUS: PEND3  
 H-

DOCID: TA AAE 06000000017  
 BATID:  
 TRANSFER OF APPROPRIATION INPUT SCREEN

10/19/05 10:54:37 AM  
 001-001 OF 001  
 ACCTG PRD: 04 06  
 BUDGET FY: 06  
 TOTAL AMOUNT: 8,111

TRANS DATE: 10 19 05

FROM APPROPRIATION				TO APPROPRIATION				TSFR	GCF	AMOUNT
FUND	AGY	ORG	APPR	FUND	AGY	ORG	APPR	TYPE	WX	
01- 461	AAE		939	461	AAE		021	C	X	8,111
02-										
03-										
04-										
05-										
06-										
07-										
08-										
09-										
10-										
11-										

H--\*S403-READY FOR APPROVAL 3

H--\*S405-READY FOR APPROVAL 5

**Emergency Funds – Expiring Project**

F-3

RA 10-19-05  
 GS 10/19/05



# STATE OF COLORADO CONSTRUCTION PROJECT APPLICATION

(SEE INSTRUCTIONS ON REVERSE SIDE)

Project No. EM-939 (Required)

## SUBMITTAL

TO: STATE BUILDINGS AND REAL ESTATE PROGRAMS

FROM: Department of Corrections

PROJECT TITLE: Replace Heating and Ventilating Units at ACC

## APPLICATION

☐ Initial Application (Conditions of Approval, Explain if any)

Initial Total Appropriation \$ 40,612

☐ Revised Application No. \_\_\_\_\_ (Explain Revision)

Total Amount Last Funded \$ \_\_\_\_\_

Increased/Decreased Funding \$ (8,111)

☒ Final Application

New Total Amount Funded \$ 32,501

## BUDGET/ACTUAL COSTS

A. Land/Building Acquisition

\$ \_\_\_\_\_

\$ \_\_\_\_\_

B. Professional Services

1. Site Surveys, Investigations, Reports

\$ \_\_\_\_\_

2. Pre-Design (explain) \_\_\_\_\_

\$ \_\_\_\_\_

3. Architectural/Engineering Basic Design

\$ \_\_\_\_\_

4. Supplemental

\$ \_\_\_\_\_

5. Code Review/Inspection

\$ \_\_\_\_\_

6. Construction Management

\$ \_\_\_\_\_

7. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

C. Construction or Improvement

1. Infrastructure

a. Utilities Services

\$ \_\_\_\_\_

b. Site Improvements

\$ \_\_\_\_\_

2. Structure/Systems/Components

\$ \_\_\_\_\_

3. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

4. 1% Allocation for Art

\$ \_\_\_\_\_

\$ \_\_\_\_\_

D. Furniture, Furnishings & Equipment

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

E. Miscellaneous

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

SUBTOTAL

\$ \_\_\_\_\_

F. Project Contingencies (5% New, 10% Renovation - B, C, D, E only)

\$ \_\_\_\_\_

TOTAL

\$ 32,501

## CONSTRUCTION DELIVERY METHOD

☐ Design/Bid/Build

☐ CM/GC

☐ Design/Build

☐ Purchase Order

☐ Other (Explain) \_\_\_\_\_

## SOURCE OF FUNDS

Capital Construction Funds Exempt

\$ \_\_\_\_\_

Cash Funds

\$ \_\_\_\_\_

Cash Funds Exempt

\$ \_\_\_\_\_

Federal Funds

\$ \_\_\_\_\_

Emergency Funds

\$ 32,501

Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

TOTAL

\$ 32,501

## REVERSION OF FUNDS

Reversion Date \_\_\_\_\_

Reversion Amount

\$ \_\_\_\_\_

## SIGNATURE APPROVALS

☐ Capital Construction

Principal Representative of Agency/Institution/Delegate

Date

OSPB or CCHE Authorization

Date

State Buildings and Real Estate Programs/Delegate

Date

Date copy sent to State Controller's Office: \_\_\_\_\_

## SIGNATURE APPROVALS

☐ Controlled Maintenance

Principal Representative of Agency/Institution/Delegate

Date

State Buildings and Real Estate Programs/Delegate

Date

Date copy sent to State Controller's Office: \_\_\_\_\_

F-4

ORIGINAL - SCO YELLOW - SBREP GOLD - CCHE/OSPB GREEN - AGENCY  
395-70-53-0418 (R.05/2001)

FUNCTION:  
STATUS: PEND3  
H-

DOCID: AP AAE 05000000059

12/30/04 10:47:30 AM

BATID: ORG:

APPROPRIATION INPUT SCREEN

APPROPRIATION DATE: 12 28 04 ACCOUNTING PRD: 06 05 BUDGET FY: 05  
FUND: 461 AGENCY: AAE ORGANIZATION:

FUNDING SOURCE CODE: TAA FUND SOURCE DESC: M80120 EMERGENCY FUND  
SPENDING AUTHORITY INDICATOR: 07 HEADNOTE:

GEN EXEMPT DETAIL OPT: N CASH EXEMPT DETAIL OPT: Y  
CASH DETAIL OPT: N FEDERAL DETAIL OPT: N

APPR AMOUNTS	I/D	B/A OPTION
--------------	-----	------------

TOTAL:

13,675

D

GENERAL:

0

I

E

GENERAL EXEMPT:

13,675

D

E

CASH:

0

I

E

CASH EXEMPT:

0

I

E

FEDERAL:

0

I

E

H--\*S403-READY FOR APPROVAL 3

H--\*S405-READY FOR APPROVAL 5

Adam BANNISTER 12/30/04

See AP GLA 05... 30  
for increase

Expiring Project funded from Department

RJ 1-5-05

G-1



STATE OF COLORADO  
CONSTRUCTION PROJECT APPLICATION

State Form SC-4.1  
Rev. 5/2001

(SEE INSTRUCTIONS ON REVERSE SIDE)

Project No. EM-805 (Required)

**SUBMITTAL**

TO: STATE BUILDINGS AND REAL ESTATE PROGRAMS

FROM: Colorado School of Mines

PROJECT TITLE: Guggenheim Hall Storm Drain and Masonry Repairs

**APPLICATION**

☐ Initial Application (Conditions of Approval, Explain if any)

Initial Total Appropriation \$ 97,120

☐ Revised Application No. \_\_\_\_\_ (Explain Revision)

Total Amount Last Funded \$ \_\_\_\_\_  
Increased/Decreased Funding \$ (13,676)

☐ Final Application

New Total Amount Funded \$ 83,444

**BUDGET/ACTUAL COSTS**

A. Land/Building Acquisition

\$ \_\_\_\_\_ \$ \_\_\_\_\_

B. Professional Services

1. Site Surveys, Investigations, Reports

\$ \_\_\_\_\_

2. Pre-Design (explain) \_\_\_\_\_

\$ \_\_\_\_\_

3. Architectural/Engineering Basic Design

\$ \_\_\_\_\_

4. Supplemental

\$ \_\_\_\_\_

5. Code Review/Inspection

\$ \_\_\_\_\_

6. Construction Management

\$ \_\_\_\_\_

7. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

C. Construction or Improvement

1. Infrastructure

\$ \_\_\_\_\_

a. Utilities Services

\$ \_\_\_\_\_

b. Site Improvements

\$ \_\_\_\_\_

2. Structure/Systems/Components

\$ \_\_\_\_\_

3. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

4. 1% Allocation for Art

\$ \_\_\_\_\_

D. Furniture, Furnishings & Equipment

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

E. Miscellaneous

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

**SUBTOTAL** \$ \_\_\_\_\_

F. Project Contingencies (5% New, 10% Renovation - B, C, D, E only)

**TOTAL** \$ 83,444

**CONSTRUCTION DELIVERY METHOD**

☐ Design/Bid/Build

☐ CM/GC

☐ Design/Build

☐ Purchase Order

☐ Other (Explain) \_\_\_\_\_

**SOURCE OF FUNDS**

Capital Construction Funds Exempt

\$ \_\_\_\_\_

Cash Funds

\$ \_\_\_\_\_

Cash Funds Exempt

\$ \_\_\_\_\_

Federal Funds

\$ \_\_\_\_\_

Emergency Funds

\$ 13,675

Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

**TOTAL** \$ 13,675

**REVERSION OF FUNDS**

Reversion Date \_\_\_\_\_

Reversion Amount \$ \_\_\_\_\_

**SIGNATURE APPROVALS**

☐ Capital Construction

Principal Representative of Agency/Institution/Delegate \_\_\_\_\_ Date \_\_\_\_\_

OSP or CCHE Authorization \_\_\_\_\_ Date \_\_\_\_\_

State Buildings and Real Estate Programs/Delegate \_\_\_\_\_ Date \_\_\_\_\_

Date copy sent to State Controller's Office: \_\_\_\_\_

**SIGNATURE APPROVALS**

☐ Controlled Maintenance

Principal Representative of Agency/Institution/Delegate [Signature] Date 12/2/04

State Buildings and Real Estate Programs/Delegate \_\_\_\_\_ Date \_\_\_\_\_

Date copy sent to State Controller's Office: \_\_\_\_\_

ORIGINAL - SCO YELLOW - SBREP GOLD - CCHE/OSP GREEN - AGENCY  
395-70-53-0418 (R-05/2001)

6-2

FUNCTION:  
STATUS: ACCPT  
H-

DOCID: AP GLA 05000000030

01/05/05 11:54:00 AM

BATID: ORG:

APPROPRIATION INPUT SCREEN

APPROPRIATION DATE: 12 21 04 ACCOUNTING PRD: 06 05  
FUND: 461 AGENCY: GLA

BUDGET FY: 05  
ORGANIZATION:

FUNDING SOURCE CODE: UFF FUND SOURCE DESC: M628 ELEC FIRE PROT P1  
SPENDING AUTHORITY INDICATOR: 07 HEADNOTE:

GEN EXEMPT DETAIL OPT: N CASH EXEMPT DETAIL OPT: N  
CASH DETAIL OPT: N FEDERAL DETAIL OPT: N

	APPR AMOUNTS	I/D	B/A OPTION
	-----	---	-----
TOTAL:	13,675	I	
GENERAL:	0	I	E
GENERAL EXEMPT:	13,675	I	E
CASH:	0	I	E
CASH EXEMPT:	0	I	E
FEDERAL:	0	I	E

A--\*HS07-DOCUMENT ALREADY ACCEPTED

*All AP AAE 05...59  
for decrease*

*RJ 1-5-05*

CTION:  
ATUS: PEND5

DOCID: AP GLA 05000000031  
BATID: ORG:  
APPROPRIATION INPUT SCREEN  
APPROPRIATION DATE: 04 22 05 ACCOUNTING PRD: 10 05  
FUND: 461 AGENCY: GLA

04/22/05 09:27:27 AM

*John Steven*  
*4-22-05*

BUDGET FY: 05  
ORGANIZATION:

FUNDING SOURCE CODE: UFF FUND SOURCE DESC: M628 ELEC FIRE PROT P1  
SPENDING AUTHORITY INDICATOR: 06 HEADNOTE:

GEN EXEMPT DETAIL OPT: N CASH EXEMPT DETAIL OPT: N  
CASH DETAIL OPT: N FEDERAL DETAIL OPT: N

	APPR AMOUNTS	I/D	B/A OPTION
	-----	---	-----
TOTAL:	13,675	D	
GENERAL:	0	I	E
GENERAL EXEMPT:	13,675	D	E
CASH:	0	I	E
CASH EXEMPT:	0	I	E
FEDERAL:	0	I	E

A--\*HS60-DOCUMENT MARKED FOR READ ONLY H--\*S405-READY FOR APPROVAL 5

*John*  
*4/22/05*



STATE OF COLORADO  
CONSTRUCTION PROJECT APPLICATION

State Form SC-4.1  
Rev. 5/2001

(SEE INSTRUCTIONS ON REVERSE SIDE)

Project No. M628 (Required)

SUBMITTAL

TO: STATE BUILDINGS AND REAL ESTATE PROGRAMS

FROM: Colorado School of Mines

PROJECT TITLE: Campus Building Electrical Safety & Fire Detection/Protection Upgrades

APPLICATION

☐ Initial Application (Conditions of Approval, Explain if any)

Initial Total Appropriation \$ 1,310,677.00

☒ Revised Application No. \_\_\_\_\_ (Explain Revision)

Total Amount Last Funded \$ 1,185,026.62

Transfer from FM805

Increased/Decreased Funding \$ 13,675.00

☒ Final Application

New Total Amount Funded \$ 1,198,701.62

BUDGET/ACTUAL COSTS

A. Land/Building Acquisition

\$ \_\_\_\_\_

**Received**

B. Professional Services

1. Site Surveys, Investigations, Reports

\$ \_\_\_\_\_

2. Pre-Design (explain) \_\_\_\_\_

\$ \_\_\_\_\_

3. Architectural/Engineering Basic Design

\$ 147,947.00

4. Supplemental

\$ \_\_\_\_\_

5. Code Review/Inspection

\$ 10,137.00

6. Construction Management

\$ \_\_\_\_\_

7. Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ 158,084.00

C. Construction or Improvement

1. Infrastructure

a. Utilities Services

\$ \_\_\_\_\_

b. Site Improvements

\$ \_\_\_\_\_

2. Structure/Systems/Components

\$ 1,021,557.62

3. Other (Explain) Abatement

\$ 3,442.00

4. 1% Allocation for Art

\$ \_\_\_\_\_

\$ 1,024,999.62

D. Furniture, Furnishings & Equipment

1. (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

E. Miscellaneous

1. (Explain) Advertisements

\$ 1,943.00

\$ 1,943.00

SUBTOTAL

\$ 1,185,026.62

F. Project Contingencies (5% New, 10% Renovation - B, C, D, E only)

\$ 13,675.00

TOTAL

\$ 1,198,701.62

CONSTRUCTION DELIVERY METHOD

☐ Design/Bid/Build

☐ CM/GC

☐ Design/Build

☐ Purchase Order

☐ Other (Explain) \_\_\_\_\_

SOURCE OF FUNDS

Capital Construction Funds Exempt

\$ 1,198,701.62

Cash Funds

\$ \_\_\_\_\_

Cash Funds Exempt

\$ \_\_\_\_\_

Federal Funds

\$ \_\_\_\_\_

Emergency Funds

\$ \_\_\_\_\_

Other (Explain) \_\_\_\_\_

\$ \_\_\_\_\_

TOTAL

\$ 1,198,701.62

REVERSION OF FUNDS

Reversion Date \_\_\_\_\_

Reversion Amount

\$ 13,675.00

SIGNATURE APPROVALS

☐ Capital Construction

Principal Representative of Agency/Institution/Delegate

Date

OSPB or CCHE Authorization

Date

State Buildings and Real Estate Programs/Delegate

Date

Date copy sent to State Controller's Office: \_\_\_\_\_

SIGNATURE APPROVALS

☒ Controlled Maintenance

Principal Representative of Agency/Institution/Delegate

Date

State Buildings and Real Estate Programs/Delegate

Date

Date copy sent to State Controller's Office: \_\_\_\_\_

ORIGINAL - SCO YELLOW - SBREP GOLD - CCHE/OSPB GREEN - AGENCY  
395-70-53-0418 (R-05/2001)

6-5


# ALERT! ALERT! ALERT!

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General Support Services  
Office of the State Controller  
1525 Sherman St., Ste 250  
Denver CO 80203  
Phone: (303) 866-3281  
Fax: (303) 866-4233

## ALERT #106

TO: Department Controllers, Fiscal Officers and Other Interested Parties

FROM: Clifford W. Hall   
State Controller

DATE: November 12, 1997

SUBJECT: *Clarification of High Cost Travel Area Reimbursement  
Charges Appropriate For Capital Construction Projects  
Revenue Tracking Table Open for Input  
Contract Approval Process Improvement*

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### Clarification of High Rate Travel Reimbursement

The SCO has received several inquiries regarding the interpretation of State Fiscal Rule 5-1 and the maximum allowable meal per diem rates for high cost travel areas. An employee is eligible for the applicable high cost per diem rate if that employee is either staying in the "key city" or is within the "county or other location" listed in the Rule (see notes at the end of Appendix A).

For example, a maximum per diem allowance of \$42 is available to an employee staying in Breckenridge, Colorado. While Breckenridge is not listed as a key city, it is located in Summit County, a county specified in the Rule, and therefore is eligible for a high cost per diem rate.

If you have any questions regarding high cost travel area reimbursement rates, please contact your field controller.

### Charges Appropriate For Capital Construction Projects

The following list of object codes are appropriate for state agencies and institutions to use for planning and charging state appropriated capital construction and controlled maintenance projects. These object codes are in conformance with the statutory definition of capital construction included in CRS 24-30-1301. Further, use of these object codes will ensure consistency among state agencies and institutions in charging capital construction projects. This list of object codes should cover the majority of transactions state agencies and institutions will normally need to record expenditures of capital construction projects. In limited instances other object codes may be appropriate for use by state agencies and institutions. However, an explanation may be required if other object codes are used.

Each set of object codes is followed by a general description of their use for capital construction purposes.





- 1910 PURCHASED SERVICES - PERSONAL SERVICES
- 1920 PURCHASED SERVICES - PROFESSIONAL
- 1930 PURCHASED SERVICE - TECHNICAL

As a general rule, object codes 19XX are to be used to record expenditures of independent consulting contractors (Part 14 of Article 30 Title 24, CRS).

- 2210 OTHER MAINTENANCE/REPAIR SVCS
- 2220 BLDG MAINTENANCE/REPAIR SVCS
- 2230 EQUIP MAINTENANCE REPAIR SVCS
- 3126 REPAIR & MAINTENANCE SUPPLIES

Object codes 22xx and 3126 are generally used for state controlled maintenance projects.

- 2310 OTHER CONSTRUCTION SVCS
- 2311 CONSTRUCTION CONTRACTOR SVCS
- 2312 CONSTRUCTION CONSULTANT SVCS

Object codes 23xx are used for general contractor construction services.

- 2253 RENTAL OF EQUIPMENT
- 2610 ADVERTISING
- 2810 FREIGHT
- 2820 OTHER PURCHASED SERVICES
- 3128 NONCAPITALIZED EQUIPMENT
- 4200 PURCHASE DISCOUNTS

These object codes are self explanatory and should be used as appropriate. Object code 2610 should be used for advertising related to the project (e.g., bid awards, end-of-project notifications). Rental of equipment is related to the rental of construction equipment required to complete a project.

- 61xx CAPITAL PROPERTY - DIRECT PURCH
- 62xx PERSONAL PROPERTY - DIRECT PURCH
- 63xx CAPITAL PROPERTY-LEASE PURCHASE

Object codes 61xx and 62xx are used for the direct purchase of capital equipment. Object codes 63xx should only be used for projects that have been approved for lease purchases.

The following types of expenditures are not appropriate and should not be charged to a capital construction/controlled maintenance project:

- ♦ Personal Services
  - Classified Civil Service Employees
  - Exempt Contract Employees
- ♦ All administrative expenditures including but not limited to:
  - Travel
  - Postage
  - Telephone and fax
  - Printing and reproduction

These expenditures should be charged to the state agency or institution's annual operating budget. In addition, state agencies and institutions should refer to OSPB's Information Technology Policy for additional requirements related to Information Technology projects. If you have any questions on the appropriateness of an expenditure please refer to the State Controller's External Policy Memorandum 1-97 or contact your OSPB analyst or SCO field controller.

#### Revenue Tracking Table Open for Input

The revenue tracking table (RTRK) is open for input until Friday, November 28, 1997. If you have estimates that have not yet been entered or want to amend existing estimates, please do so before November 28, 1997. If you have any questions about this requirement, please contact your field controller. If you have a technical question about how to enter data into the RTRK table, please contact the COFRS helpline.

#### Contract Approval Process Improvement

Yvonne Anderson, who is responsible for the statutory review of all state contracts and purchase orders for the State Personnel Director, has relocated from the Executive Director's Office to the State Controller's Office effective November 1, 1997.

This move was designed to provide better customer service for those state contracts and purchase orders that involve procuring personal services as it furthers the concept of one-stop shopping. Policy decisions concerning this statutory review (CRS 24-50-501) will continue to be made by Yvonne Anderson and the State Personnel Director.

Yvonne's telephone number remains the same, 303/866-2862. Her new fax number is 303/866-4233, and her new mailing address is the same as the State Controller's Office: 1525 Sherman St., #250 Denver, Colorado 80203.